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BOPA

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Regulations

Decree

Explanatory Statement

Article 19 of Law 14/2017, of 22 June, on prevention of and the fight against the laundering of money or assets and against terrorist financing establishes that the Register of Mercantile Companies, the Register of Associations and the Register of Foundations require the information relating to the beneficial owners of the registered entities, which information should be kept accurate and updated. This information should be available to:

- a) the Financial Intelligence Unit of Andorra (hereafter “UIFAND”) and other competent authorities, without any restrictions;
- b) the reporting entities defined in Article 2 of Law 14/2017, within the framework of the application of the due diligence measures according to Chapter 2;
- c) all persons or organizations who can prove a legitimate interest.

Paragraph 3 of Article 3 of Law 14/2017 defines the concept of *beneficial owner*.

The final provisions 2, 3 and 4 of Law 14/2017 amend Law 20/2007, of 18 October, on Public Limited Companies and Limited Liability Companies; the Qualified Law on Associations, of 29 December 2000; and Law 11/2008, of 12 June, on Foundations, and they establish the obligation to keep a registry book of the beneficial owners which should be provided to the respective register under the terms which may be developed in accordance with regulations, so that suitable, accurate and updated information on beneficial owners will be available at all times.

Transitory Provision One of the aforementioned Law determines that this obligation will be demandable as from 1 January 2018 and that the information on their beneficial owners was to be obtained by companies, associations and foundations within a maximum time of one year counting from the entry into force of same, which took place on 20 July 2017, that is to say, by 20 July 2018.

In compliance with the regulatory authorization contained in Law 14/2017, this Regulation develops the following procedures:

(i) the procedure for providing to the Register of Mercantile Companies, to the Register of Associations and to the Register of Foundations, the information relating to beneficial owners; and

(ii) the procedure for keeping this information updated in the respective register.

Moreover, the procedure for the accessing of this information by reporting entities and the persons or organizations who prove a legitimate interest is regulated.

The Regulation does not regulate the access, without any restriction, by UIFAND and other competent authorities, to the information relating to beneficial owners, which access is regulated by their specific regulations.

The procedures for provision of, updating of and access to the information on beneficial owners in the respective registers follow the procedures and times previously regulated in the following regulations:

- Regulation for Application of Law 20/2017, of 18 October, on Public Limited Companies and Limited Liability Companies, approved by Decree of 16 April 2014.

- Regulation of the Register of Associations, approved by Decree of 1 August 2001.

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- Regulation on the Register of Foundations and on the Protectorate, approved by Decree of 1 April 2009.

The Regulation is formed by 14 articles, one transitory provision and three annexes which form an integral part of its provisions.

At the proposal of the Minister of Finance and of the Minister of Social Affairs, Justice and Interior, the Government, in the session of 5 September 2018,

Decrees

Sole Article

The Regulation regulating the registry of and access to the information relating to beneficial owners in the registers of legal entities is approved.

Sole Final Provisional

This Decree will go into force on the day of its publication in the Official Gazette of the Principality of Andorra (BOPA).

This is made public for general knowledge.

Andorra la Vella, 5 September 2018

Antoni Martí Petit

Head of Government

Regulation regulating the registry of and access to the information relating to beneficial owners in the registers of legal entities

Chapter One. General provisions

Article 1. *Scope of application*

1. This Regulation develops the obligation to provide the information of the registry book of beneficial owners to the Register of Mercantile Companies,

the Register of Associations and the Register of Foundations, so that suitable, accurate and updated information on the beneficial owners of the respective entities will be available at all times.

To this end, the Regulation develops the following procedures:

a) the procedure for legal entities to provide to the Register of Mercantile Companies, the Register of Associations and the Register of Foundations, the information of the registry book on their beneficial owners, and

b) the procedure for keeping this information updated in the respective register.

2. Additionally, this Regulation regulates the procedure for the accessing of this information by reporting entities within the framework of the application of the due diligence measures which is regulated in Chapter Two of Law 14/2017, of 22 June, on prevention of and the fight against the laundering of money or assets and against terrorist financing, and by the persons or organizations who prove a legitimate interest in this respect.

3. The registers register the information relating to beneficial owners in such a way that the access to same is not joint and automatic access with respect to the rest of the registral information, in order to ensure the conditions of access to this information which are regulated in Article 19 of Law 14/2017 and this Regulation.

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Chapter Two. Provision and updating of the information on beneficial owners in the Register of Mercantile Companies.

Article 2. Provision of the information to the Register of Mercantile Companies

1. Andorran companies and Andorran subsidiaries of foreign entities are to provide, to the Register of Mercantile Companies, the data on beneficial owners set out in Paragraph 2 of Article 21 bis of Law 20/2007, of 18 October, on Public Limited Companies and Limited Liability Companies, by means of the form which is included in this Regulation as Annex 1, within a time of fifteen working days from the date of the grant of the respective public deed of incorporation.

Annex 1 is to be accompanied by a written statement signed by the members of the administration body who are on record in the deed of incorporation.

The notary who authorizes the public deed of incorporation and who conveys it to the Register in compliance with the applicable laws may also convey Annex 1 by means of the mandate of the members of the administration body who are on record in said public deed.

2. The Register makes the respective entry in order to register therein the information on the beneficial owners within a time of fifteen calendar days from the reception of said information.

Article 3. Updating of the information registered in the Register of Mercantile Companies.

1. The Andorran companies and Andorran subsidiaries of foreign entities are to notify the Register of the changes of beneficial owners within a time of fifteen working days from the date of the grant of the public deed relating to the resolution or transaction which gives rise to the change.

2. When the change of beneficial owners takes place by virtue of resolutions or transactions which do not require the grant of a public deed in Andorra, the companies are to notify same to the Register within a time of two months from the date of the resolution or transaction which gives rise to the change.

In the event in which this situation occurs with respect to Andorran subsidiaries of foreign entities, the obligation provided in this paragraph corresponds to the foreign company which possesses the subsidiary in Andorra.

3. The notification to which reference is made in this Article is to be made by means of the form which is included in this Regulation as Annex 1, which is to be accompanied by a written statement signed by a person with sufficient

power of attorney to act in the name of the respective company or subsidiary to this end.

In the event in which the change of beneficial owner occurs as a result of resolutions or transactions which require the grant of a public deed in Andorra, the notary who authorizes the public deed and who conveys same to the Register in compliance with the applicable laws, may also convey Annex 1 by means of the mandate of a person with sufficient power of attorney to act in the name of the company or subsidiary to this end.

4. The Register keeps the information on beneficial owners updated by making the respective entries, within a time of fifteen calendar days from the reception of the information.

Chapter Three. Provision and updating of the information on beneficial owners in the Register of Associations

Article 4. Provision of information to the Register of Associations

1. Associations are to provide to the Register of Associations the data on beneficial owners provided in Paragraph 2 of Article 28 bis of the Qualified Law on Associations, of 29 December 2000, by means of the form which is included in this Regulation as Annex 2, within a time of fifteen working days from the date of the founding act.

Annex 2 is to be accompanied by a written statement signed by the members of the directive bodies or of the provisional managing committee who are on record in the founding act. The notary who, as the case may be, takes part by means of the grant of a public deed or by means of the instrument of protocolization of the private document of the founding act, may convey Annex 2 by means of the mandate of the members of the directive bodies or of the provisional managing committee who are on record in the founding act.

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2. The associations incorporated according to foreign Law which have their registered office in Andorra or which carry out activities on Andorran territory are to notify the Register of the information to which reference is made in Paragraph 1 of this Article when they submit the request for registration by means of the form which is included in this Regulation as Annex 2.

Annex 2 is to be accompanied by a written statement signed by a person with sufficient power of attorney to act in the name of the association to this end.

3. The Register makes the respective entry to register therein the information relating to the beneficial owners within a time of thirty working days from the reception of the information. The registration of this information is made independently of the prior registration or absence of same of the respective association in the Register of Associations.

Article 5. Updating of the information registered in the Register of Associations

1. Associations are to notify to the Register the change of beneficial owners within a time of fifteen working days from the date of the resolution or transaction made in Andorra which gives rise to such change.

2. When the change of beneficial owners occurs by resolutions or transactions which do not take place in Andorra, associations are to notify this fact to the Register within a time of two months from the date of approval of the respective resolution or transaction.

3. The notification to which reference is made in this Article is to be made by means of the form which is included in this Regulation as Annex 2, which is to be accompanied by a written statement signed by a person with sufficient power of attorney to act in the name of the association to this end.

The notary who, as the case may be, takes part by means of the grant of the public deed or by means of the instrument of protocolization of the private document of the resolution or of the transaction which gives rise to the change, may convey Annex 2 by means of the mandate of a person with sufficient power of attorney to act in the name of the association to this end.

4. The Register keeps the information on beneficial owners updated by making the respective entries, within a time of thirty working days from the reception of the information.

Chapter Four. Provision and updating of information on beneficial owners to the Register of Foundations

Article 6. Provision of information to the Register of Foundations

1. Foundations are to provide, to the Register of Foundations, the data on beneficial owners provided in Paragraph 5 of Article 22 of Law 11/2008, of 12 June, on Foundations, by means of the form which is included in this Regulation as Annex 3, within a time of three working days from the date of the grant of the public deed of foundation.

Annex 3 is to be accompanied by a written stated signed by the persons who are to constitute the first board of trustees who are on record in the public deed of foundation.

The notary who authorizes the public deed of foundation and who conveys it to the Register in compliance with the applicable laws may also convey Annex 3 by means of the mandate of the members of the first board of trustees who are on record in the deed of foundation.

2. The Register makes the respective entry in order to register therein the information relating to the beneficial owners, within a time of two months from the date of reception of the information.

Article 7. Updating of the information registered in the Register of Foundations

1. Foundations are to notify the Register of the change of beneficial owners within a time of three working days from the date of the grant of the public deed of the resolution or of the transaction which gives rise to the change.

2. When the change of beneficial owners occurs by virtue of resolutions or transactions which do not require the grant of a public deed in Andorra, foundations are to notify this fact to the Register within a time of two months from the date of the resolution or transaction which gives rise to the change.

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3. The notification to which reference is made in this Article is to be made by means of the form which is included in this Regulation as Annex 3, which is to be accompanied by a written statement signed by a person with sufficient power of attorney to act in the name of the foundation to this end.

The notary who, as the case may be, takes part by means of the grant of a public deed or by means of the instrument of protocolization of the private document of the resolution or transaction which gives rise to the change, may convey Annex 3 by means of the mandate of a person with sufficient power of attorney to act in the name of the foundation to this end.

4. The Register keeps the information on beneficial owners up to date by making the respective entries within a time of two months from the date of reception of the information.

Chapter Five. Access to the information on the beneficial owners by reporting entities obliged to apply due diligence measures

Article 8. *Content of the request*

The request for access to the information on beneficial owners is to be made in writing and is to contain, at least, the following information:

- a) The identity of the requesting reporting entity,
- b) The identity of the legal entity concerned, and
- c) Proof that the request is made within the framework of the application of the due diligence measures in accordance with the regulations on the prevention of and the fight against the laundering of money or assets and terrorist financing.

Article 9. Processing of the request

The register to which the request is addressed is to verify that same complies with the requirements for content provided in Article 8.

In the affirmative, the register conveys, to the requesting reporting entity, the information relating to the beneficial owners of the legal entity concerned, in writing and within a maximum time of five working days.

If the request does not contain the information required in Article 8, the register so notifies the submitter of such information and grants same a maximum time of five working days to complete it.

Against the act of denial of the requested information, the interested persons may file the respective appeal for reversal and, as the case may be, the respective jurisdictional claim.

Chapter Six. Accessing of information on beneficial owners by persons and organizations with a legitimate interest

Article 10. Scope of application

All persons or organizations who can prove a legitimate interest, may request the respective register for information relating to the beneficial owners of the registered legal entities.

Article 11. Content of the request

The request for access to the information on the beneficial owners is to be made in writing and is to contain, at least, the following information:

- a) The identity of the requester,
- b) The identity of the legal entity concerned, and
- c) Proof that the request is based on a legitimate interest.

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Article 12. Processing of the request

The register to which the request is addressed is to verify that the request complies with the requirements for content provided in Article 11.

In the affirmative, the Register notifies the requester of the information relating to the beneficial owners of the legal entity concerned, in writing and within a maximum time of fifteen working days.

If the request does not contain the information required in Article 11, the Register is to so notify the submitter of the information and is to grant it a maximum time of five working days to complete such information. If the Register determines that the request is not based on a legitimate interest invoked in the request, it is not to be considered that there is a lack of the information required in Article 11 which may be completed in five working days.

Against the act of denial, the interested persons may file the respective appeal for reversal and, if appropriate, a jurisdictional claim.

Chapter Seven. Other provisions

Article 13. Limitation of access to information on beneficial owners registered in the Register of Mercantile Companies, in the Register of Associations and in the Register of Foundations

1. Companies, associations and foundations may make a reasoned request to the respective register to suspend the access to the information relating to beneficial owners or to limit access to part of the information under the terms and with the exceptions established in Paragraph 6 of Article 19 of Law 14/2017.

2. The requests to which reference is made in the preceding paragraph have a temporary suspensive effect with respect to the processing of requests for information which affect the beneficial owners of the entities concerned, until the respective register issues a resolution on that matter.

3. In the event that the requesting entity alleges that the beneficial owner is a minor or has some incapacity not related to age, the requesting entity should prove such facts before the registry to which the request is addressed by submitting the respective supporting documentation which is to be attached to the request.

The register considers the documentation provided and issues a reasoned resolution in which it accepts or denies the request within a time of five working days from the date of submission.

Against the act of denial, interested persons may file the respective appeal for reversal and, if appropriate, a jurisdictional claim.

4. In the event that the requesting entity alleges that the access to the information on the beneficial owner exposes same to a risk of fraud, kidnapping, blackmail, violence or intimidation, the register immediately conveys the request to the Ministry in charge of Interior together with the documentation accompanying it.

If the Ministry in charge of Interior, taking into consideration the documentation provided and the allegations made, finds indications or the existence of the alleged risk, it so informs the register in writing and in a reasoned way so that the register will maintain the suspension of access to the information on the respective beneficial owner.

Otherwise, it informs the registry in writing and in a reasoned way so that the register will lift the suspension of processing of the pertinent requests for information.

The suspension of access to the information on the beneficial owner has a duration of one year, which is renewable at the behest of the requesting company, association or foundation by the procedure which is regulated by this Article.

5. Against the resolution of the register, the requesting persons to whom reference is made in Paragraph 4 may file an appeal under the terms established in the Administrative Code.

Article 14. Processing by telematic means

1. The Government may approve the necessary procedures for the forms which are included in this Regulation as Annexes 1, 2 and 3, and the

requests for access to information on beneficial owners, to be submitted to the Register of Mercantile Companies, to the Register of Associations and to the Register of Foundations by telematic means.

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2. The telematic transmission of the information relating to beneficial owners by the notary in application of the provisions of this Regulation is subject to the condition that the notary should have the qualified electronic signature, in the form which is established by Law 6/2009, of 29 December, on Electronic Signature.

Sole Transitory Provision. Provision of information on beneficial owners by legal entities

Companies, associations and foundations are to provide the information relating to their beneficial owners by means of the forms which are included in this Regulation as Annexes 1, 2 and 3, as appropriate, by 31 December 2018.

Companies, associations and foundations which, on the date of entry into force of this Resolution, were to have provided the information of the registry book of beneficial owners to the respective Register, are to consider whether the provided information covers the information required by Annexes 1, 2 or 3 and, if not, they are to submit the respective annex by 31 December 2018.

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Annex 1

Form for providing, to the Register of Mercantile Companies, information on beneficial owners of companies and subsidiaries of foreign entities.

Annex 1

Government of Andorra

Identification of beneficial owners

Public limited companies, limited liability companies and subsidiaries

1. Data of the requesting person

Corporate name:

Tax registration number:

Registered office:

Postal code. Town:

Country:

Forename and surnames of legal representative:

E-mail address:

Land line/mobile:

2. Natural person beneficial owner with percentage of participation or of voting rights over 25%

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

% participation:

Direct:

Indirect:

Other means:

He possesses over 25% of the voting rights

He has the authority to appoint or to dismiss the majority of the members of the administration body

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

% participation:

Direct:

Indirect:

Other means:

He possesses over 25% of the voting rights

He has the authority to appoint or to dismiss the majority of the members of the administration body

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

% participation:

Direct:

Indirect:

Other means:

He possesses over 25% of the voting rights

He has the authority to appoint or to dismiss the majority of the members of the administration body

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

% participation:

Direct:

Indirect:

Other means:

He possesses over 25% of the voting rights

He has the authority to appoint or to dismiss the majority of the members of the administration body

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3. Natural person beneficial owner under other circumstances

State other beneficial owners in accordance with Paragraphs ii) and iii) of Article 3.3.a of Law 14/2017, of 22 June, on prevention of and the fight against the laundering of money or assets and terrorist financing.

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Position on the administration body/others:

Forename and surnames:

Date of birth:
Nationality:
Country of residence:
Position on the administration body/others:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the administration body/others:

4. Nature and scope of real participation

In the case of beneficial owners by indirect title, state in detail the chain of control.

Level in the chain of control:

Direct

Corporate name:
Date of incorporation:
Country of residence:
Registral data:

Second

Corporate name:
Date of incorporation:
Country of residence:
Registral data:

Third

Corporate name:
Date of incorporation:
Country of residence:
Registral data:

Fourth

Corporate name:
Date of incorporation:
Country of residence:
Registral data:

5. Statement, date, signature and seal of the requesting person

I STATE that all the data in this form and in the rest of the documentation linked to same, are true and complete and that I am aware that any possible untruthfulness or omission in this document may give rise to the respective legal responsibilities.

Place and date:

Forename and surnames of the legal representative and signature

Seal

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Instructions

Paragraph 2.

If the natural person has a direct participation and an indirect participation, state the percentage of both participations in the respective spaces.

This includes natural persons who, by other means, exercise the control of the company, in accordance with the criteria established by Law 30/2017, of 20 December, on Accountability of Business Operators. In such case, identify the criterion in accordance with which the interested party exercises the control of the company, from among the following:

1. He possesses over 25% of the voting rights.
2. He has the authority to appoint or to dismiss the majority of the members of the administration body.

Paragraph 3.

If the person exercising the control of the company is a legal entity, identify only the representative natural person or persons.

Paragraph 4.

Begin by identifying the legal entity which has a direct participation in the company, proceeding until the identification of the company which has an indirect participation on the last level. In the case of over five levels, attach an explanatory sheet with the required information.

Additional information

In the case in which there are more beneficial owners than those specified in Paragraphs 2, 3 and 4, complete the "Annex on beneficial owners Annex 1a" (Z3T001a).

Applicable laws

- Qualified Law on Protection of Personal Data, of 18 December 2003.
- Decree of 23-8-2006 regulating the Personal Data File of the Register of Companies, of the Ministry of Economy.
- Law 20/2007, of 18 October, on Public Limited Companies and Limited Liability Companies, amended by Law 4/2008, Law 93/2010, Law 10/2012, Law 28/2013, Law 5/2015, Law 3/2017, Law 14/2017, Law 17/2017, and Law 16/2018.
- Law 14/2017, of 22 June, on prevention of and the fight against the laundering of money and assets and terrorist financing.
- Regulation regulating the Registration of and Access to Information relating to Beneficial Owners in the Registers of Legal Entities.

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Government of Andorra

Annex on beneficial owners

Public limited companies, limited liability companies and subsidiaries

1. Data of the requesting person

Corporate name:

Tax registration number (NRT):

2. Natural person beneficial owner with percentage of participation or of voting rights over 25%

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

% participation:

Direct:

Indirect:

Other means:

He possesses over 25% of the voting rights

He has the authority to appoint or to dismiss the majority of the members of the administration body

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

% participation:

Direct:

Indirect:

Other means:

He possesses over 25% of the voting rights

He has the authority to appoint or to dismiss the majority of the members of the administration body

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

% participation:

Direct:

Indirect:

Other means:

He possesses over 25% of the voting rights

He has the authority to appoint or to dismiss the majority of the members of the administration body

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

% participation:

Direct:

Indirect:

Other means:

He possesses over 25% of the voting rights

He has the authority to appoint or to dismiss the majority of the members of the administration body

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

% participation:

Direct:

Indirect:

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Other means:

- He possesses over 25% of the voting rights
- He has the authority to appoint or to dismiss the majority of the members of the administration body

3. Natural person beneficial owner under other circumstances

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Position on the administration body/others:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Position on the administration body/others:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Position on the administration body/others:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Position on the administration body/others:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Position on the administration body/others:

4. Nature and scope of real participation

In the case of beneficial owners by indirect title, state in detail the chain of control.

Level in the chain of control:

Direct

Corporate name:

Date of incorporation:

Country of residence:

Registral data:

Second

Corporate name:

Date of incorporation:

Country of residence:

Registral data:

Third

Corporate name:

Date of incorporation:

Country of residence:

Registral data:

Fourth

Corporate name:

Date of incorporation:

Country of residence:

Registral data:

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Level in the chain of control:

Direct

Corporate name:

Date of incorporation:

Country of residence:

Registral data:

Second

Corporate name:

Date of incorporation:

Country of residence:

Registral data:

Third

Corporate name:

Date of incorporation:

Country of residence:

Registral data:

Fourth

Corporate name:

Date of incorporation:

Country of residence:

Registral data:

Level in the chain of control:

Direct

Corporate name:

Date of incorporation:

Country of residence:

Registral data:

Second

Corporate name:
Date of incorporation:
Country of residence:
Registral data:

Third

Corporate name:
Date of incorporation:
Country of residence:
Registral data:

Fourth

Corporate name:
Date of incorporation:
Country of residence:
Registral data:

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Annex 2

Form for providing, to the Register of Associations, information on beneficial owners of associations

Government of Andorra

Annex 2

Identification of beneficial owners Associations

1. Data of the requesting person

Corporate name:
Registered office:
Postal code. Town:
Country:
Forename and surnames of the legal representative:
E-mail address:
Land line/mobile:

2. Natural person beneficial owner who is a member of the governing body

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position in the governing body:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position in the governing body:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position in the governing body:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position in the governing body:

3. Natural person beneficial owner under other circumstances

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

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Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

4. Statement, date, signature and seal of the requesting person

I STATE that all the data in this form and in the rest of the documentation linked to same, are true and complete and that I am aware that any possible untruthfulness or omission in this document may give rise to the respective legal responsibilities.

Place and date:

Forename and surnames of the legal representative and signature

Seal

Instructions

Paragraph 2.

Identify the natural persons who by other means exercise the control of the association.

Additional information

In the case in which there are more beneficial owners than those specified in Paragraphs 2 and 3, complete the "Annex on beneficial owners Annex 2a".

Applicable laws

- Qualified Law on Protection of Personal Data, of 18 December 2003.
- Law 14/2017, of 22 June, on prevention of and the fight against the laundering of money and assets and terrorist financing.
- Regulation regulating the Registration of and Access to Information relating to Beneficial Owners in the Registers of Legal Entities.

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**Annex on beneficial owners
Associations**

1. Data of the requesting person

Corporate name:

2. Natural person beneficial owner who is a member of the governing body

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Position in the governing body:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Position in the governing body:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Position in the governing body:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position in the governing body:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position in the governing body:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position in the governing body:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position in the governing body:

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3. Natural person beneficial owner under other circumstances

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:
Category:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Category:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Category:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Category:

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Annex 3

Form for providing, to the Register of Foundations, information on beneficial owners of foundations

Government of Andorra

Annex 3

Identification of beneficial owners Foundations

1. Data of the requesting person

Corporate name:

Registered office:

Postal code. Town:

Country:

Forename and surnames of the legal representative:

E-mail address:

Land line/mobile:

2. Founding natural person beneficial owner

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

3. Natural person beneficial owner who is a member of the board of trustees

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

Forename and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

Forename and surnames:

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Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

4. Beneficiary natural person beneficial owner

In addition to the persons identified in Paragraphs 2 and 3 above, identify the beneficiaries of the foundation.

Forename and surnames:
Date of birth:
Nationality:
Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

Forename and surnames:

Date of birth:

Nationality:

Country of residence:

Category:

5. Statement, date, signature and seal of the requesting person

I STATE that all the data in this form and in the rest of the documentation linked to same, are true and complete and that I am aware that any possible untruthfulness or omission in this document may give rise to the respective legal responsibilities.

Place and date:

Forename and surnames of the legal representative and signature

Seal

Instructions

Paragraph 2.

In the case in which the founder is a legal entity, identify only the natural person beneficial owners of the founder.

Paragraph 3.

In the case in which the members of the board of trustees are legal entities, identify only the representative natural person or persons.

Paragraph 4.

In the case in which the beneficiaries of the foundation are pending appointment, identify the category of persons in the benefit of which the foundation has been created or principally acts.

Additional information

In the case in which there are more beneficiaries than those specified in Paragraphs 2, 3 and 4, complete the "Annex on beneficial owners Annex 3a".

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Applicable laws

- Qualified Law on Protection of Personal Data, of 18 December 2003.
- Law 14/2017, of 22 June, on prevention of and the fight against the laundering of money and assets and terrorist financing.
- Regulation regulating the Registration of and Access to Information relating to Beneficial Owners in the Registers of Legal Entities.

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Annex 3a

Government of Andorra

**Annex on beneficial owners
Foundations**

1. Data of the requesting person

Corporate name:

**2. Natural person beneficial owner who is a member of the governing
body**

Forenames and surnames:

Date of birth:

Nationality:

Country of residence:

Position in the governing body:

Forenames and surnames:

Date of birth:

Nationality:

Country of residence:

Position in the governing body:

Forenames and surnames:

Date of birth:

Nationality:

Country of residence:

Position in the governing body:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Position in the governing body:

3. Natural person beneficial entity who is a member of the board of trustees

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

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Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Position on the board of trustees:

4. Beneficiary natural person beneficial owner

In addition to the persons identified in Paragraphs 2 and 3 above, identify the beneficiaries of the foundation.

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Category:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Category:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Category:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Category:

Forenames and surnames:
Date of birth:
Nationality:
Country of residence:
Category: