

Guidance for the application of Restrictive Measures – FATF Recommendations 6 and 7



UIFAND

UNITAT D'INTEL·LIGÈNCIA FINANCERA

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1. BACKGROUND

The main objective of the restrictive measures set out in Article 49 of Law 14/2017, of June 22, on the prevention and fight against money laundering and terrorist financing (hereinafter, Law 14/2017) is to prevent individuals and entities linked to terrorism, terrorist financing or the financing of weapons of mass destruction to use the global system, financial or non-financial, to promote or perform these criminal activities.

To this end, the international community has agreed to adopt Restrictive Measures with regard to persons and entities that appear in national and international lists (see Lists of the Security Council of the United Nations), which are public so that everyone can apply the restrictive measures that are derived. These Restrictive Measures should be applied quickly and effectively so that the efforts aimed at combating terrorism, its financing and the financing of weapons of mass destruction are effective.

Thus, the purpose of this guide is to provide natural and legal persons in Andorra compliance with the legal obligations established in the Principality of Andorra concerning Restrictive Measures.

2. INTERNATIONAL STANDARDS AND THE LEGAL FRAMEWORK OF ANDORRA

2.1. What is the content of the FATF Recommendations 6 and 7?

Recommendations 6 and 7 of the FATF point out that countries should implement targeted financial sanctions (TFS) to comply with the resolutions of the Security Council of the United Nations on the prevention and suppression of terrorism, the financing of terrorism, prevention, suppression and disruption of proliferation of weapons of mass destruction and their financing.

These resolutions require countries to block without delay the funds or other assets and ensure that any funds or other assets are made available, directly or indirectly, to or for the benefit of any person or entity, either appointed by or under the authority of the Security Council of the United Nations in the Chapter VII of the United Nations Charter.

2.2. Which is the legal framework in the Principality of Andorra concerning Restrictive Measures?

Law 14/2017 establishes several measures to prevent, fight, and suppress terrorism and the financing of terrorism and prevent and disrupt the proliferation of weapons of mass destruction their financing.

*Synthetically, Andorran legislation requires to apply "**Restrictive Measures**" regarding the persons and entities listed in the "**List**" (and related persons and entities) issued by the Principality of Andorra and published on the website of the UIFAND (<https://www.uifand.ad/en/restrictive-measures>).*

3. RESTRICTIVE MEASURES

3.1. What are the Restrictive Measures?

The restrictive measures are those actions taken with regard to persons and entities listed in the List. The restrictive measures may include:

1. Freezing all funds and financial assets owned, or directly or indirectly controlled, by the persons or entities referred to in section 3.4 of this guide, whether these funds and assets are owned in full or in conjunction with others, and including funds derived from or having their origin in the above;
2. Banning the persons and entities referred to in section 3.4, directly or indirectly, accessing any funds, financial assets, financial services, or other related services;
3. Placing restrictions on commercial activity, including restrictions on imports and exports and arms embargo;
4. Placing restrictions on financial activities of any nature, including assessment, support, and procurement services;
5. Any other restrictions, including technical assistance, flight bans and restrictions on admission and transit;
6. Diplomatic sanctions, the suspension of cooperation, and boycotting sports events in case of countries included in the lists issued by the competent committee of the United Nations.
7. Any other determined by the Permanent Committee in the performance of their functions.

All these measures have to be applied to those persons detailed in section 3.4 of this guide, as the Permanent Committee on the prevention of money laundering and terrorist financing (hereinafter “the Committee” or “the Permanent Committee”) decided in its Resolution 1/2019, of May 23 2019, that repeals Resolution 1/2016, of February 25, as well as in any subsequent resolutions from the Committee. These resolutions can be viewed at the “restrictive measures” section of the UIFAND website (<https://www.uifand.ad/en/restrictive-measures>)

3.2. What is meant by freezing?

Freezing assets means a ban on the transfer, conversion, use, or movement of funds and financial assets owned or controlled (whether directly or indirectly) by the person or entity included in the List.

3.3. What is meant by funds?

Funds are understood to be financial assets, property of every kind, whether tangible or intangible, movable or immovable, however acquired, and legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such funds or other assets, including, but not limited to, bank credits, travellers cheques, bank cheques, money orders, shares, securities, bonds, drafts, or letters of credit, and any interest, dividends or other income on or value accruing from or generated by such funds.

3.4. To whom are these Restrictive Measures applied?

Restrictive Measures apply obviously to individuals and entities included on the List and, additionally, they must be applied to:

1. Any entity that is either owned or directly or indirectly controlled by any person or entity on the list;
2. Any person or entity acting on behalf or under the instructions of a person or entity on the list;
3. Countries included in the lists adopted by the corresponding committee of the UN in relation to diplomatic sanctions, suspensions of cooperation and boycott of sport events.

3.5. When to apply Restrictive Measures?

Restrictive measures must be implemented immediately as from their publication on the UIFAND website and without notifying the person or entity to which have been applied (affected by the Restrictive Measure).

4. THE LIST

4.1. What is the list of persons and entities (the List)?

The List is a document regularly updated of persons and entities deemed to have links with terrorist activities, the financing of terrorism, or the financing of the proliferation of weapons of mass destruction.

This List can be found in the section “Restrictive Measures” of the UIFAND website: <https://www.uifand.ad/en/restrictive-measures>

4.2. Which is the Andorran competent authority to make and publish the List?

The Permanent Committee is the competent body from the Andorran Government to prepare and release the List.

4.3. Which persons or entities are included in the List?

In the list are included:

1. In any case, the persons and entities which appear in the consolidated list of sanctions of the United Nations Security Council, which includes all the persons and entities subject to sanctions imposed by the aforesaid Security Council, as well as the persons and entities which appear there pursuant to future modifications of the list issued by the aforesaid Security Council and its committees.

The persons and entities and entities designated by possible future committees of the United Nations Security Council are understood to be included in the List as from the moment of their designation by the competent committee of the United Nations Security Council.

2. The designated persons and entities which appear in the consolidated list of sanctions of the European Union contained in the Annex of the Decision 2019/25 of the Council of the European Union, of 8 January 2019, updating the list of persons, groups and entities to which are applicable the Articles 2, 3 and 4 of the Common Position 2001/931/PESC, on the application of specific measures to fight against terrorism, and that appear in Resolution 1/2019 and subsequent resolutions of the Permanent Committee.

The new additions to the consolidated list of sanctions of the European Union not referred to in the Decision 2019/25 of the Council, of 8 January 2019, will not be included automatically in the List, but rather the Permanent Committee will assess the suitability of including them in the List by issuing the corresponding resolution to communicate such inclusions.

3. If a state so requests, the Permanent Committee may decide to include a person or entity on the List if it considers that there are reasonable grounds or a reasonable basis to suspect of that person or entity.

4. The persons and entities that the Committee considers taking into account their own deliberations, based on different criteria such as that of the United Nations and its resolutions or that of the European Union and its decisions, amongst others.

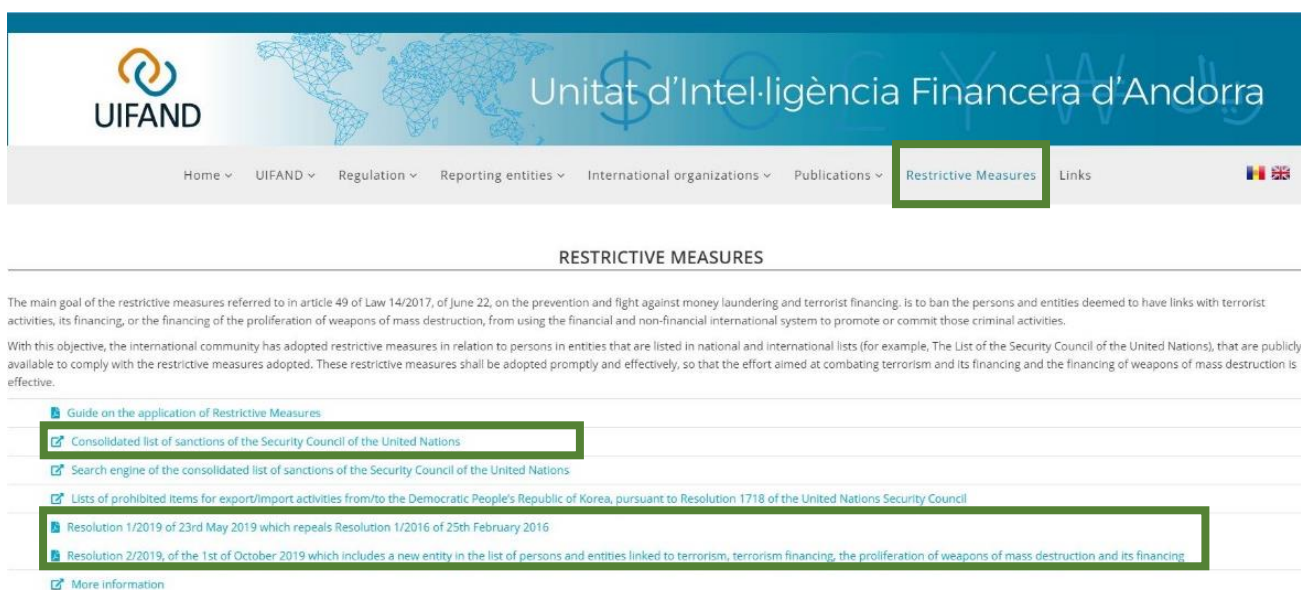
For instance, the Permanent Committee agreed, in its Resolution 1/2019, to include to the List the designated persons and entities that appear in the Annex of Decisions 2019/25 of the European Union Council, of January 8, 2019, updating the list of persons, groups and entities to which are applicable articles 2, 3 and 4 of the Common Position 2001/931/PESC, on the application of specific measures to fight against terrorism.

Notwithstanding the above, the Permanent Committee states, in the same Resolution 1/2019, that the new incorporations not mentioned in Decision 2019/25 of the Council, of January 8, 2019, will not be automatically included to the List, but it will be the Permanent Committee who will assess the adequacy of their inclusion to the List, issuing the appropriate resolution in order to communicate such inclusions.

4.4. Where can I find the List?

In the “Restrictive Measures” section of the UIFAND website (<https://www.uifand.ad/en/restrictive-measures>), the following items can be consulted:

- Resolution 1/2019 and subsequent resolutions of the Permanent Committee, which contain the persons and entities that are part of the List, whether due to appearing in the consolidated list of the United Nations or due to the Permanent Committee’s own decision.



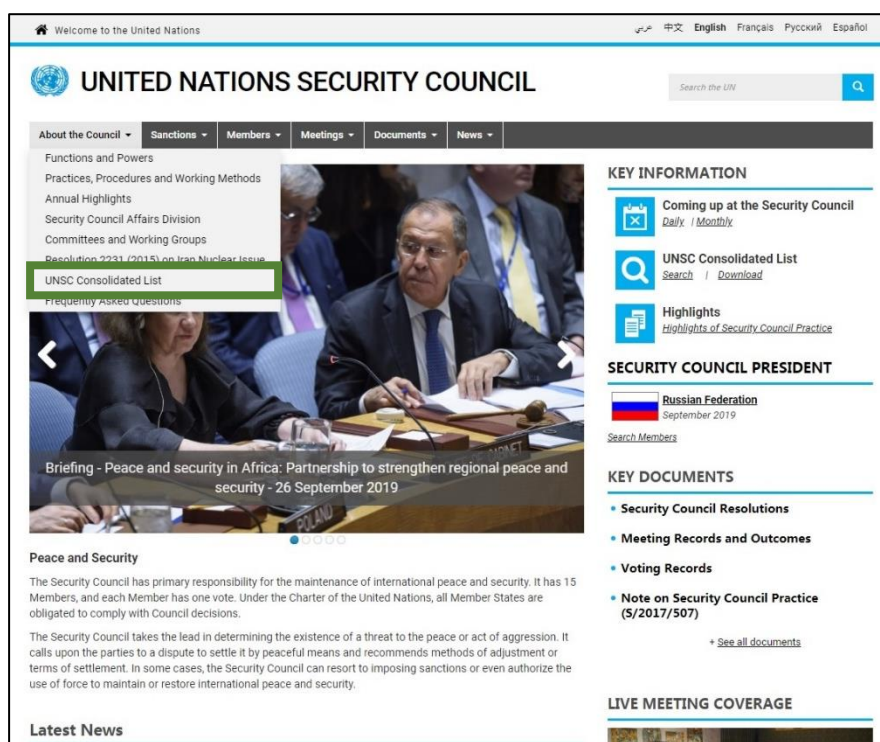
RESTRICTIVE MEASURES

The main goal of the restrictive measures referred to in article 49 of Law 14/2017, of June 22, on the prevention and fight against money laundering and terrorist financing, is to ban the persons and entities deemed to have links with terrorist activities, its financing, or the financing of the proliferation of weapons of mass destruction, from using the financial and non-financial international system to promote or commit those criminal activities.

With this objective, the international community has adopted restrictive measures in relation to persons in entities that are listed in national and international lists (for example, The List of the Security Council of the United Nations), that are publicly available to comply with the restrictive measures adopted. These restrictive measures shall be adopted promptly and effectively, so that the effort aimed at combating terrorism and its financing and the financing of weapons of mass destruction is effective.

- Guide on the application of Restrictive Measures
- Consolidated list of sanctions of the Security Council of the United Nations
- Search engine of the consolidated list of sanctions of the Security Council of the United Nations
- Lists of prohibited items for export/import activities from/to the Democratic People's Republic of Korea, pursuant to Resolution 1718 of the United Nations Security Council
- Resolution 1/2019 of 23rd May 2019 which repeals Resolution 1/2016 of 25th February 2016
- Resolution 2/2019, of the 1st of October 2019 which includes a new entity in the list of persons and entities linked to terrorism, terrorism financing, the proliferation of weapons of mass destruction and its financing
- More information

- The consolidated list of persons and entities designated by the United Nations Security Council or any of its committees, which can be consulted on the following link (<https://www.un.org/securitycouncil/content/un-sc-consolidated-list>).

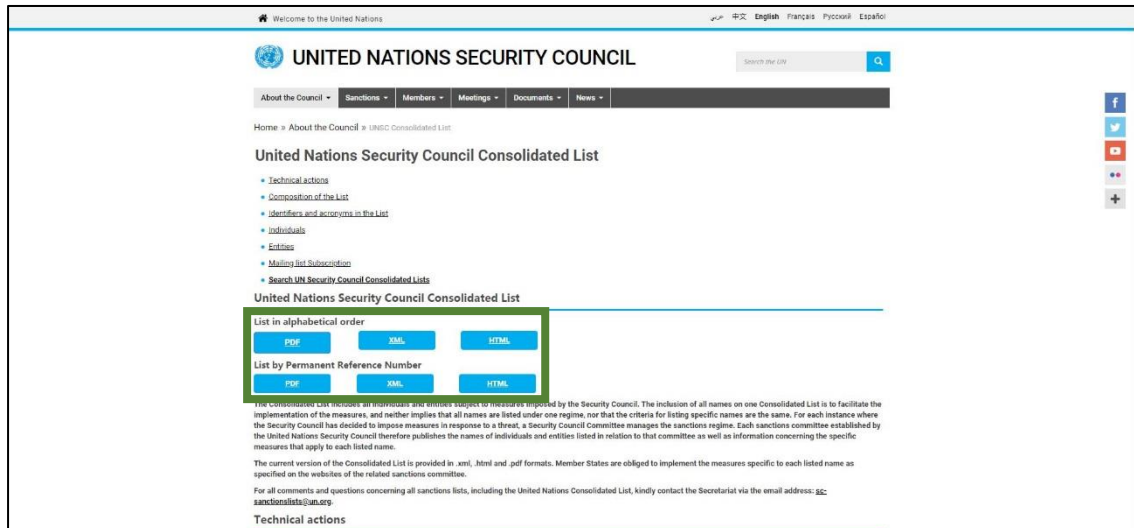


4.5. How can I check the persons and entities included in the List?

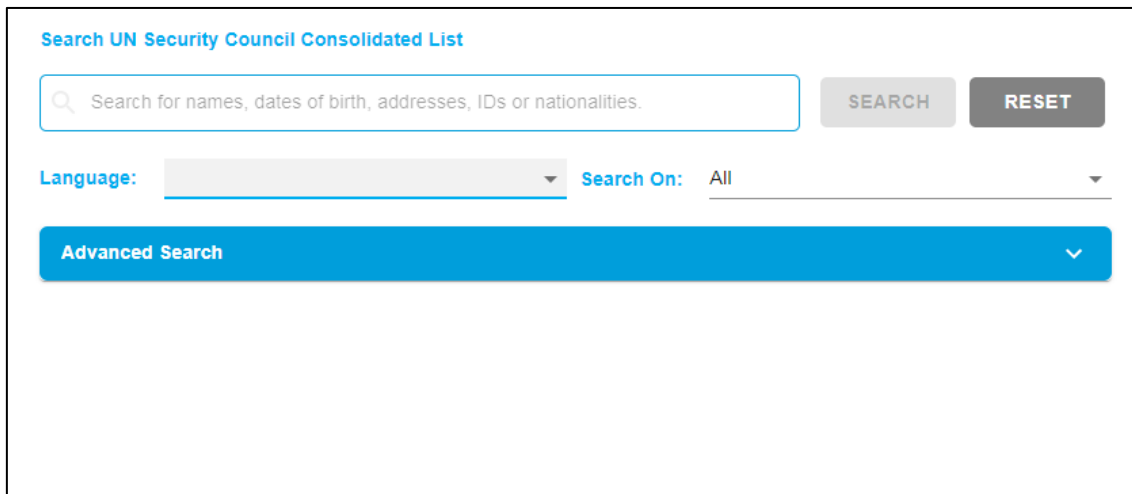
Regarding the consolidated list of the United Nations, there are two ways to view the natural persons or entities included in the List through the link to the website of the UN mentioned in the previous section:

1. By alphabetical order, or
2. By a permanent reference number.

In each option there is a section for natural persons and another for the entities. Additionally, in order to facilitate the search, the List is available in PDF, XML and HTML format.



The Security Council of the United Nations has also enabled a search engine to find a person or entity individually. This search can be found at this link: <https://search.sanctions.un.org/> (see screenshot below):



Obligated entities are recommended to ensure that the documentary evidence of the searches carried out using this search tool includes the date and time at which the search was performed.

Regarding other persons and entities included in the List, those are detailed in the Permanent Committee resolutions.

4.6. Is the List updated?

The List is modified on a regular basis (especially following the changes made by the United Nations), either to include new natural persons or entities or to exclude them.

4.7. How can I know the changes that might be made in the List?

You must regularly check if the List has undergone any modification, whether due to an update to the United Nations Consolidated List or due to the issuance of a new resolution by the Permanent Committee.

Regarding natural persons and entities included in the List based on the consolidated list of the United Nations, this query can be done also through the website of the Security Council of the United Nations (<https://www.un.org/securitycouncil/content/un-sc-consolidated-list>).

Furthermore, there is a direct link, also accessible through the website of the UIFAND that ensures that the generated list is always the most updated version possible of this consolidated list of sanctions: (<https://scsanctions.un.org/consolidated/>)

In relation to the natural persons and entities included in the List due to resolutions from the Permanent Committee, these should be checked through the UIFAND's webpage (<https://www.uifand.ad/en/restrictive-measures>)

5. IMPLEMENTATION OF RESTRICTIVE MEASURES

5.1. What do I have to do if I discover that I am managing assets or funds subject to Restrictive Measures?

Concerned parties that are related to or have knowledge of the funds or financial assets affected by a restrictive measure (v.g. reporting entities that have business relationships with customers or beneficial owners included in the List) must:

1. Implement immediately the Restrictive Measures indicated in section 3.1 of this guide to all persons mentioned above, and
2. Inform the UIFAND via reliable means of the measures taken, as well as any operation that the person or entity intends to carry out.

The communication must be carried out through the **Form 1: “Communication of application of restrictive measures”** available in this guide.

This form has to be presented to the UIFAND, personally at their premises:

Dr. Vilanova 15-17 (-4 floor)
AD 500 Andorra la Vella

5.2. When do I have to inform the UIFAND of the application of Restrictive Measures?

This notice must be given no later than five days after the publication starting from the publication of the person or entity (on the List or as a related person) or after the date the funds or financial assets come into the possession of the person giving notice, should this be the later date.

5.3. Once the communication to the UIFAND is made, do I have to expect an answer?

The Permanent Committee has to ratify any Restrictive Measure communicated by the UIFAND within 15 days from the reception of the communication by the Permanent Committee.

For these reason, the holder of the funds can receive a notification from the Permanent Committee. The absence of a communication to the fund holder within 15 days of receipt of the information by the latter will entail confirmation of the measure.

5.4. Do I have to inform the persons and entities affected by the Restrictive Measures?

The Permanent Committee has to inform the persons and entities affected by the restrictive measures.

5.5. After the first communication made to the UIFAND regarding the freezing of funds, do I have to do more communications?

Yes, further communications have to be done:

- You must inform the UIFAND immediately if any incidence occurs and,
- In any case, you must inform every six months (from the first communication) regarding the general status and situation of the freezing funds and financial assets previously made.

These communications must be made through **Form 2: “Biannual communication of restrictive measures or incidences”** available in this guide. This form must be physically presented to the UIFAND at the address mentioned in section 5.1 of this guide.

5.6. What do I have to do if a transaction may fall within an exception or derogation provided for in the resolutions of the United Nations Security Council?

Restrictive Measures must be applied immediately and without exception, except where the resolutions of the United Nations Security Council provide for applicable exceptions or derogations.

Where any natural or legal person considers that a transaction may fall within one of these exceptions or derogations, particularly where it is intended to ensure the delivery of humanitarian assistance or to support activities that meet basic human needs, they must inform the UIFAND without delay, providing the information and supporting documentation necessary to demonstrate the nature of the transaction and compliance with the requirements established by the applicable resolutions.

The UIFAND shall submit the communication, without delay, to the Permanent Committee, which shall adopt the appropriate decision in accordance with Article 49, paragraphs 5 and 6, of Law 14/2017.

In its assessment, the Permanent Committee shall verify that the transaction falls within the exceptions or derogations provided for in the resolutions of the United Nations Security Council and that appropriate control measures have been adopted to ensure that the funds, economic resources, goods or services do not directly or indirectly benefit persons or entities subject to Restrictive Measures.

The decision of the Permanent Committee shall be communicated by the UIFAND to the person or entity concerned. Where appropriate, the Permanent Committee may establish any conditions necessary to ensure compliance with the resolutions of the United Nations Security Council.

6. PERSONS AFFECTED BY RESTRICTIVE MEASURES

6.1. What happens if my funds have been blocked pursuant to this rule and I need to dispose them to satisfy a fundamental need?

The Permanent Committee may authorize, upon request, all or part of the frozen funds and financial assets to be used by the persons or entities listed or by family members in order to meet their basic needs, including the cost of food, medication, housing, health care, and legal assistance.

The Permanent Committee may also authorize the use of frozen funds and financial assets to cover the cost of taxes, fees, compulsory insurance premiums and bank account maintenance charges, as well as any expenses necessary to maintain and administer the frozen assets and any other justifiable extraordinary expense.

In these cases, in order to make the blocked funds available, the applicant must provide a written request to the Permanent Committee via the UIFAND.

The request must be made through **Form 3: “Request to lift or modify restrictive measures”**, available in this guide.

This form must be physically presented to the UIFAND at the address mentioned in section 5.1 of this guide.

6.2. What do I do if I am being affected by a restrictive measure by mistake?

A written request can be made regarding bona fide third parties affected by the actions taken, either because they bear an identity or similarity to the person or entity concerned in terms of their name or otherwise, or for any other reason that constitutes grounds to lift or modify the measures. The written request must be addressed to the Permanent Committee via the UIFAND.

The request must be made through **Form 3: “Request to lift or modify restrictive measures”**, available in this guide.

This form must be physically presented to the UIFAND at the address mentioned in section 5.1 of this guide.

6.3. How long does the Permanent Committee take to resolve my request to lift or modify restrictive measures?

The Permanent Committee has 15 days to notify the resolution of the request. Once the period of 15 days has lapsed, the request for removal from the list must be understood as having been rejected, allowing the applicant to appeal the decision before the Government.

When the adopted measures arise from compliance with a resolution drawn up by the United Nations Security Council or one of its committees, the Permanent Committee may

not lift or modify the action taken without proceeded to the respective communications and formalities which are required on a prior basis according to the applicable resolutions.

6.4. What happens in case the total or partial lift or modification of restrictive measures is approved?

If the adopted measures are lifted, whether in full or in part, or if they are modified, the Permanent Committee will carry out the necessary procedures to release the funds and economic resources affected and notify the administrative services, the judicial authorities and the reporting entities via the UIFAND.

6.5. What do I do if I want to request my exclusion from the List?

In case any natural person or entity is included in the List and want to request their exclusion, they can make a written request addressed to the Permanent Committee via the UIFAND.

The request must be made through **Form 4: "Request of exclusion from the List"**, available in this guide.

This form must be physically presented to the UIFAND at the address mentioned in section 5.1 of this guide.

6.6. How long does the Permanent Committee take to resolve my exclusion request?

As in the case of the requests for lifting or modifying restrictive measures, the Permanent Committee has 15 days to notify the resolution of the request. Once the period of 15 days has lapsed, the request for removal from the list must be understood as having been rejected, allowing the applicant to appeal the decision before the Government.

When registration on the list arises due to a resolution drawn up by the United Nations Security Council or one of its committees, the Permanent Committee for the prevention and fight against money laundering and terrorist financing is not eligible to hear the case and the applicant will be informed of the appeals available to them in order to be removed from the list of the Committee concerned. These appeals include in particular:

- a) the option to do this directly in accordance with established procedures, of which the applicant shall be informed; or
- b) the option to do so indirectly via the Permanent Committee for the prevention and fight against money laundering and terrorist financing, which shall then address the United Nations Security Council or one of its committees and notify them of the request to be removed from the list..

6.7. What happens in case my exclusion from the List is approved?

If approval is given for a person or entity to be removed from the list, the Permanent Committee for the prevention and fight against money laundering and terrorist financing will take the appropriate actions and notify the administrative services, the judicial authorities and parties under obligation in order to release the funds and economic resources affected by the measures, via the UIFAND.

Form 1¹

Communication of application of restrictive measures²

Legal basis: article 52.2 of Law 14/2017, which establishes the obligation to immediately inform the Permanent Committee (via the UIFAND) about the persons or entities included in the List (in general, persons to whom restrictive measures must be applied).

1. General data about the person presenting the communication

- Legal name (name of the person or reporting entity):
- Representative/s in front of the UIFAND:
- Address:
- Brief description of the activity of the person presenting the communication:

2. Documents attached

- ☐ Copy of the ID documents of the person for which the communication is presented.
- ☐ Notary deeds.
- ☐ Bank statements or documents related to the transaction history in paper.
- ☐ Bank statements or documents related to the transaction history in electronic means.
- ☐ Copy of the *Know Your Customer* (KYC) form and documents.
- ☐ Any other support documentation (specify which):

3. Date, signature and stamp

_____ of _____, _____

Signature of the legal representative

Stamp

¹ The translated version of the forms 1-5 is just for informative purposes and cannot be used as official documents.

² It is mandatory to attach all annexes, regardless of not possessing all required information.

Annex I – Natural person

1. Customer data¹

- Total number of reported persons:
- Name and surnames:
- ID document (passport, DNI or others):
- Number of the ID document:
- Nationality:
- Date and place of birth:
- Occupation:
- Residence address:
- Country of residence:

¹ Print the necessary number of copies in order to fill the data with all natural persons.

Annex II – Legal person or entity

1. Customer data¹

- Total number of reported persons:
- Company name:
- Legal form:
- Company purpose:
- Address:
- Country:
- Names and surnames of the legal representatives:

¹ Print the necessary number of copies in order to fill the data with all legal persons or entities.

Annex III – Beneficial owner¹

1. Customer data

- Is the customer the beneficial owner? (Yes/No):

2. Comments (optional)

¹ According to article 3.3 of Law 14/2017

Annex IV – Description of the facts and identification of the funds¹

1. Clear and comprehensive description of the reasons for which the communication is presented

- Description about how you identified that the funds belong to persons or entities designated in the List or to persons or entities associated with them, pursuant to article 48 of Law 14/2017:

- Date in which this fact is known:

- Description of the measures adopted in relation to the funds:

- Information about the person(s) and/or entity(ies) to whom restrictive measures are applied (*name and surnames or legal name / type of ID document / number of the ID document / date and place of birth or incorporation / address / phone number / data on the legal representative of the entity / type of business relationship / start date of the business relationship / etc.*):

2. Information about the funds

- Type of funds:

- Description of the funds:

- Value of the funds (in euros):

- Internal reference of the funds:

3. Information about the account (if applicable)

- Number of the account:

- Type of account:

- Currency of the account:

- Names and surnames of the holder/s of the accounts and their beneficial owners:

- Opening date of the account:

- Cancellation date of the account:

- Start date of application of restrictive measures:

- Account status (i.e. *active/inactive/dormant*):

¹ Use the space deemed necessary.

4. Information about transactions (if applicable)

- Date and time of the transaction:
- Transaction amount:
- Currency of the transaction:
- Type of funds involved in the transaction:
- Type of transaction (i.e. *ATM / on-site / mail / phone / others*):
- Description of the transaction:
- Person or entity that carries out or attempts the transaction:

Form 2

Biannual communication of restrictive measures or incidences

Legal basis: article 52.5 of Law 14/2017 establishes the obligation to communicate every six months the situation and status of the blocked funds or any related incidence to the Permanent Committee (via the UIFAND).

1. General data about the person for which the communication is presented

- Legal name (name of the person or reporting entity):

- Representative/s in front of the UIFAND:

- Reference to the applied restrictive measures:

2. Information about the funds or resources to which restrictive measures are applied

- Persons or entity to which restrictive measures have been applied:

- Status of the funds and/or resources to which restrictive measures are applied:

- Description of the activities of fund and/or resources management:

- Activity of the person or entity to which restrictive measures have been applied:

- Incidences:

- Any other relevant information:

3. Date, signature and stamp

_____ of _____, _____

Signature of the legal representative

Stamp

Form 3

Request to lift and/or modify restrictive measures

Legal basis: article 54 of Law 14/2017 establishes that the Permanent Committee has to evaluate and hand down a decision regarding the requests made by the parties concerned or by *bona fide* third parties to lift or modify the restrictive measures applied, as well as to authorize upon request, all or part of the frozen funds and economic resources due to the application of restrictive measures to be used by the persons or entities listed or by family members in order to meet basic survival needs.

1. Date and number of the resolution of the Permanent Committee from which the blocking of funds derives

2. Identification and contact data of the person presenting the request

2.1 – Natural person

- Name and surnames:
- ID document (passport, DNI or others):
- Number of the ID document:
- Nationality:
- Date and place of birth:
- Professional activity:
- Residence address:
- Country of residence:

2.2 – Legal person

- Company name:
- ID document (NRT, Companies' Register or others):
- Number of the ID document:
- Legal form:
- Year of incorporation:

-
- Corporate purpose:
 - Address:
 - Country:
 - Name and surnames of the legal representatives:

3. Identification and contact data of the person affected by the Restrictive Measures¹

3.1 – Natural person

- Name and surnames:
- ID document (passport, DNI or others):
- Number of the ID document:
- Nationality:
- Date and place of birth:
- Professional activity:
- Residence address:
- Country of residence:

3.2 – Legal person

- Company name:
- ID document (NRT, Companies' Register or others):
- Number of the ID document:
- Legal form:
- Year of incorporation:
- Corporate purpose:
- Address:
- Country:
- Name and surnames of the legal representatives:

¹ Fill this section only in those cases where the persons presenting the request and the person affected by the restrictive measures are different.

4. Reasons why the modification of lift of restrictive measures or the partial or total disposition of frozen funds to meet basic survival needs is requested²

² In the case of a request for the total or partial use of frozen funds for survival needs, those needs will have to be in line with what it is established in article 54 of Law 14/2017. Furthermore the payment amount, the means and periodicity of the payment and the identification data of the person to whom the payment must be made have to be specified.

5. Any other information that may be relevant ³

³ Describe in this section any further information that may be relevant to justify the request to lift and/or modify the application of restrictive measures or the total or partial disposition of frozen funds in order to meet basic survival needs, such as your professional activity or information regarding your wealth and/or assets.

5. Documents to support the request ⁴

6. Date, signature and stamp

_____ of _____, _____

Signature of the legal representative

Stamp

⁴ List in this section the documents attached to this form, stating, in each case, the reason why you consider that they justify the presentation of the request to use totally or partially the blocked funds.

Form 4

Request of exclusion from the List

Legal basis: article 53 of Law 14/2017 establishes that the Permanent Committee has to evaluate and resolve in a reasoned matter the requests for removal from the List made by the concerned parties.

2. Identification and contact data of the person presenting the request

2.1 – Natural person

- Name and surnames:
- ID document (passport, DNI or others):
- Number of the ID document:
- Nationality:
- Date and place of birth:
- Professional activity:
- Residence address:
- Country of residence:

2.2 – Legal person

- Company name:
- ID document (NRT, Companies' Register or others):
- Number of the ID document:
- Legal form:
- Year of incorporation:
- Corporate purpose:
- Address:
- Country:
- Name and surnames of the legal representatives:

3. Identification and contact data of the person affected by the Restrictive Measures¹

3.1 – Natural person

- Name and surnames:
- ID document (passport, DNI or others):
- Number of the ID document:
- Nationality:
- Date and place of birth:
- Professional activity:
- Residence address:
- Country of residence:

3.2 – Legal person

- Company name:
- ID document (NRT, Companies' Register or others):
- Number of the ID document:
- Legal form:
- Year of incorporation:
- Corporate purpose:
- Address:
- Country:
- Name and surnames of the legal representatives:

¹ Fill this section only in those cases where the persons presenting the request and the person affected by the restrictive measures are different.

4. Reasons why the exclusion from the List is requested²

² In this section it must be explained why you consider that the designation of the person for which this request is submitted does not comply or has ceased to comply with the criteria of inclusion to the List.

5. Any other information that may be relevant ³

³ Describe in this section any further information that may be relevant to justify the request to lift and/or modify the application of restrictive measures, such as your professional activity or information regarding your wealth and/or assets.

6. Documents to support the request⁴

7. Date, signature and stamp

_____ of _____, _____

Signature of the legal representative

Stamp

⁴ List in this section the documents attached to this form, stating, in each case, the reason why you consider that they justify the presentation of the request of exclusion from the List.

For a deceased individual, the following information has to be necessarily included:

- death certificate or similar official documentation confirming the death whenever possible;
- whether or not any legal beneficiary of the deceased's estate or any joint owner of his /her assets is on the List.